

# A Hierarchical Navigation Map for A Fault-Tolerant Mobile Agent Model

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## ABSTRACT

*An application program on a faulty computer can be performed on another operational computer by moving the program in the mobile agent model. In this paper, we discuss a transactional agent (TA) model where a reliable and efficient application for manipulating objects in multiple computers is realized in the mobile agent model. Here, only a small part of the application program named routing (R) subagent moves around computers. A routing subagent autonomously finds a destination computer. We discuss a hierarchical navigation (HN) map which computer should be visited. A manipulating (M) subagent programs manipulating objects in a computer are loaded to the computer on arrival of R subagent in order to reduce the communication overhead. There are kinds of faulty computers for a transactional agent; current, destination, and sibling computers where a transactional agent now exists, will move, and has visited, respectively. The types of faults are detected by neighboring M subagents by communicating with each other. If some of the M subagents are faulty, the R subagent has to be aborted. However, the R subagent is still moving. We discuss how to efficiently deliver the abort message to the moving R subagent. We evaluate the TA model in terms of how long it takes to abort the R subagent if some computer is faulty.*

## 1: INTRODUCTIONS

Various types of objects like databases [11, 13] are distributed on multiple servers in networks. A transaction [3] of an application program is an atomic sequence of methods issued to objects. In the client-server model [6], a transaction is performed on a client and issues access requests like SQL [1] to servers. Servers can be made more reliable and available by using multiple server replicas [14] and taking checkpoints [9] in the servers. However, application programs cannot be performed on clients if the clients are faulty. For example, multiple servers might block if a client is faulty in the two-phase commitment protocol [11]. A process of an application program can be actively, passively, semi-actively, and semi-passively replicated [14]. However, it is not easy to actively and semi-actively perform multiple replicas of an application program on databases since multiple replicas issue update requests to each of the databases [2]. On the other hand, mobile agents [4] are programs which

move in networks. Here, an application program on a faulty computer can move to another operational computer. We discuss how to reliably realize an application program manipulating distributed objects in a mobile agent in presence of computer faults. A *transactional agent (TA)* is a mobile agent which manipulates objects with some commitment condition.

In order to reduce the communication overhead, a transactional agent is decomposed into a *routing (R)* subagent and a collection of *manipulation (M)* subagents. The *R* subagent autonomously moves in the network. We introduce a *hierarchical navigation (HN)* map in this paper. Here, computers with replicas of an object are collected into a *group* and the precedent relations among components in the group are specified based on the output-input relation [14]. A commitment condition of a transactional agent is specified for each group, e.g. atomic and majority ones. An *M* subagent is only a part of an application program to locally manipulate objects in each computer. On arrival of an *R* subagent, classes of the *M* subagent are loaded to the computer.

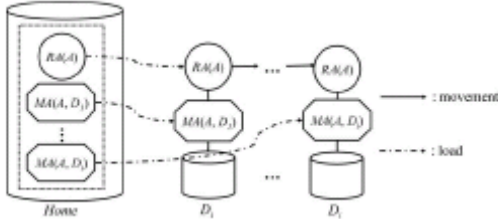
In the TA model, there are types of computers which might be faulty, i.e. destination, sibling, and current computers where a transactional agent moves, has passed and a manipulation (*M*) subagent exists, and currently exists, respectively. Sibling *M* subagents are linearly chained to show a sequence of computers which the transactional agent has visited. An *M* subagent periodically exchanges messages with its neighboring ones. An *M* subagent is detected to be faulty by the neighboring *M* subagents with the time-out mechanism. We evaluate the TA model in terms of how long it takes to abort the *R* subagent since the *R* subagent is still moving.

In section 2, we discuss the TA model. In section 3, we present the HN map. In section 4, we discuss how a transactional agent can be tolerant of computer faults. In section 5, we evaluate the TA model.

## 2: A TRANSACTIONAL AGENT MODEL

A class *c* is stored in a home computer *Home(c)*. If a method of a class *c* is invoked by a mobile agent on a computer *D*, *c* is searched in the local cache. If found, *c* in the cache is invoked. Otherwise, *c* is loaded to *D* from *Home(c)*. A mobile agent *A* is initiated on a base computer *Base(A)* by loading classes from *Home(A)*. A *transactional agent* is a mobile agent which autonomously makes a decision on what computer to visit in presence of computer faults, moves in networks

and locally manipulates objects in each computer, negotiates with other transactional agents with respect to which one takes conflicting objects, and commits only if a commitment condition is satisfied, otherwise aborts. *Target* objects are objects to be manipulated by a transactional agent. In order to reduce the communication overhead, a transactional agent  $A$  is decomposed into a *routing* ( $R$ ) subagent  $RA(A)$  and *manipulation* ( $M$ ) subagents  $MA(A, D_1), \dots, MA(A, D_n)$  ( $n \geq 1$ ), where  $D_i$  stands for a target computer. Each  $MA(A, D_i)$  is a part of an application program to locally manipulate objects in  $D_i$ . Only  $RA(A)$  moves around computers while autonomously making a schedule to visit target computers. On arrival of  $RA(A)$  at a computer  $D_i$ , classes of  $MA(A, D_i)$  are loaded to  $D_i$  from the home computer  $Home(A)$  as shown in Figure 2.



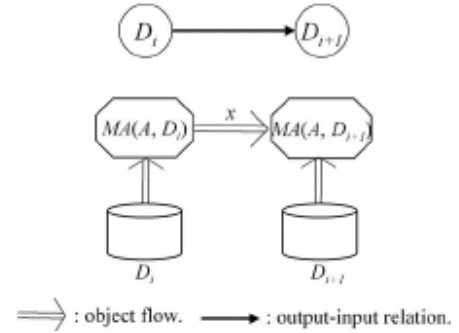
**Figure 1. Movement of a transactional agent.**

A transactional agent  $A$  is initiated on a base computer  $D_1 (= Base(A))$  by loading the classes from  $Home(A)$ .  $RA(A)$  makes a schedule  $Sch(A)$  to visit target computers. Then,  $RA(A)$  moves to another computer  $D_2$  from  $D_1$  according to the schedule  $Sch(A)$ . Thus,  $RA(A)$  moves to a computer  $D_i$  from  $D_{i-1}$ . Here,  $D_i$  is *current*. Then, the  $M$  subagent  $MA(A, D_i)$  is initiated in  $D_i$ . Objects are locally manipulated in the current computer  $D_i$  through  $MA(A, D_i)$ . Then,  $MA(A, D_i)$  may output intermediate objects from  $D_i$ . In turn,  $MA(A, D_i)$  may use the intermediate objects output by another  $MA(A, D_h)$  ( $h < i$ ). Even if  $RA(A)$  leaves a computer  $D_i$ ,  $MA(A, D_i)$  still holds objects manipulated in  $D_i$ .  $MA(A, D_i)$  commits only according to the indication of  $RA(A)$ . Here, suppose  $RA(A)$  is now on a *current* computer  $D_i$  after visiting  $D_1, \dots, D_{i-1}$ . Here,  $MA(A, D_1), \dots, MA(A, D_{i-1})$  are *sibling*  $M$  subagents. Suppose  $MA(A, D_i)$  holds objects and  $RA(B)$  of another transactional agent  $B$  would like to manipulate the objects in a conflicting way. Here,  $RA(B)$  negotiates with  $MA(A, D_i)$  to decide which one  $A$  or  $B$  holds the objects based on the commitment conditions [10].

### 3: A HIERARCHICAL NAVIGATION MAP

Objects are distributed to computers in networks. Suppose an object  $o_i$  is replicated in multiple computers  $D_{i1}, \dots, D_{il}$  ( $l \geq 1$ ). Let  $o_{ij}$  be a replica of an object  $o_i$  in  $D_{ij}$ . If a transactional agent  $A$  derives some values of the object  $o_i$ ,  $A$  can visit any computer  $D_{ik}$  with a replica  $o_{ij}$ . On the other hand,  $A$  has to visit every computer  $D_{ih}$  to update the object  $o_i$ . Here, a collection of computers  $D_{i1}, \dots, D_{il}$  is a *group*  $G_i$  of  $o_i$ . Here, suppose a

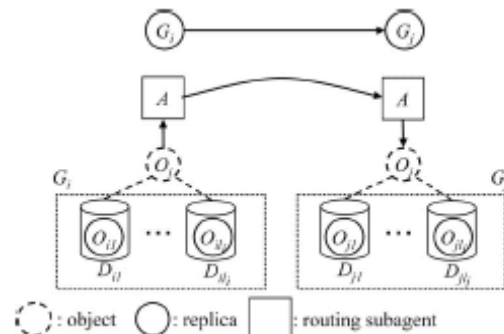
manipulation ( $M$ ) subagent  $MA(A, D_i)$  derives some object  $x$  from a computer  $D_i$  and  $MA(A, D_j)$  uses  $x$  in another  $D_j$  [Figure 3]. Here, there is an output-input relation " $D_i \rightarrow D_j$ ." The output-input relation  $\rightarrow$  shows a sequence of computers in which the  $R$  subagent  $RA(A)$  visits. If  $D_i \rightarrow D_j$ ,  $RA(A)$  has to visit  $D_i$  prior to  $D_j$ .  $D_i$  and  $D_j$  are *independent* ( $D_i \parallel D_j$ ) iff neither  $D_i \rightarrow D_j$  nor  $D_j \rightarrow D_i$ .



**Figure 2. Input-output relation.**

A hierarchical navigation ( $HN$ ) map  $HMap(A)$  is composed of *nodes* interconnected with output-input relations for each transactional agent  $A$ . A node  $G_i$  is composed of nodes. A node shows a computer or a group. A *group* is a collection of nodes. A node showing a computer is *primitive*. Thus,  $HMap(A)$  is a group of nodes. Let us consider a group  $G_i = \{D_{i1}, \dots, D_{il}\}$  ( $l \geq 1$ ) of an object  $o_{i1}$ . Each  $D_{ij}$  is primitive of  $G_i$ . Suppose each  $D_{ij}$  has a replica  $o_{ij}$ . A transactional agent  $A$  is specified with the following commitment condition  $CC(A, G_i)$  for a group  $G_i$ :

1. *Atomic (A) commitment condition*: All the nodes should be successfully visited in a group  $G_i$ .
2. *Majority (M) commitment condition*: More than half of the nodes should be successfully visited.
3. *At-least-one (AO) commitment condition*: At least one of the nodes should be successfully visited.
4. *(n, r) commitment condition*: More than  $(r/n)l$  nodes out of  $l$  nodes should be successfully visited.



**Figure 3. Input-output relation among groups.**

If all the objects in  $G_i$  have to be successfully manipulated in a transactional agent  $A$ , the atomic commitment is taken for  $G_i$ , i.e.  $CC(A, G_i) = A$ . For example, if  $A$  just derives values from the object  $o_i$ ,  $A$  can visit one computer in  $G_i$ . Here,  $CC(A, G_i) = AO$ . In

another example, an object  $o_i$  is decomposed into subagents, i.e. subobjects  $o_{i1}, \dots, o_{il}$ . Each subobject  $o_{ij}$  is a part of  $o_i$ . Here, a group  $G_i$  is composed of nodes  $\{G_{i1}, \dots, G_{il}\}$  where each  $G_{ij}$  is related with a subobject  $o_{ij}$ . Then, each  $o_{ij}$  is replicated in replicas  $o_{ijk}, \dots, o_{ijl_j}$ , where each replica  $o_{ijk}$  is in  $D_{ijk}$ . Here,  $G_i$  is a collection of primitive nodes  $\{G_{ij1}, \dots, G_{ijl_j}\}$  where each  $G_{ijk}$  shows a computer  $D_{ijk}$  with a replica  $o_{ijk}$ . If a transactional agent  $A$  navigates data in the object  $o_i$ ,  $CC(A, G_i) = A$  and  $CC(A, G_{ij}) = AO$ .

Let  $G_k$  be  $\{D_{k1}, \dots, D_{kl_h}\}$  for object  $o_k$ . If a transactional agent  $A$  manipulates the object  $o_j$  after  $o_i$ ,  $G_i$  precedes  $G_j$  ( $G_i \rightarrow G_j$ ) in  $A$  as shown on Figure 4. Thus,  $A$  decides on in which order  $A$  would visit node in each  $G_i$ . We discuss how to make a schedule  $Sch(A)$  from  $HMap(A)$  composed of nodes  $G_1, \dots, G_l$ . A node  $G_{ij}$  is initial in  $G_i$  if there is no node  $G_{ik}$  such that  $G_{ik} \rightarrow G_{ij}$ . Furthermore, each group  $G_i$  is furthermore composed of nodes  $G_{i1}, \dots, G_{il_i}$  ( $l_i \geq 1$ ). Here,  $\langle b_1, \dots, b_m \rangle + a = \langle b_1, \dots, b_m, a \rangle$ . A schedule is obtained from  $G_i$  by the following procedure.

**[Schedule( $A, G_i$ )]**

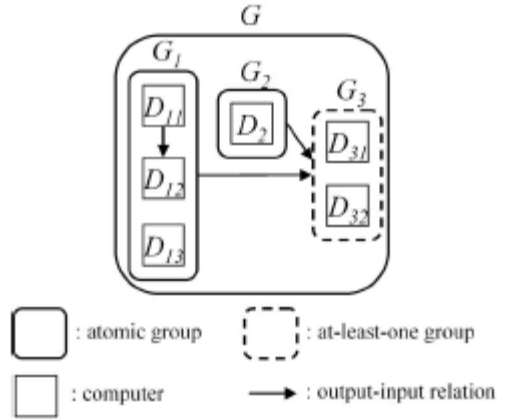
1.  $G_i := \emptyset$ . return ( $Sch$ );
2.  $I_i :=$  subset of initial nodes which have no incoming edge in  $G_i$ ;  $R_i := \emptyset$ ;  $Sch := \emptyset$ ;
3. Otherwise, take one initial node  $G_{ij}$  in  $I_i$ ;  
 $G_i := G_i - \{G_{ij}\}$ ;  $R_j := R_j \cup \{G_{ij}\}$ ;  
 If  $G_{ij}$  is an aggregate node,  $Sch := Sch + \mathbf{Schedule}(A, G_{ij})$ , else  $Sch := Sch + G_{ij}$ ;
4. If  $CC(A, G_i) = A$ , go to 1;  
 if  $CC(A, G_{ij}) = AO$ , return ( $Sch$ ) if  $|R_i| \neq \emptyset$ ,  
 if  $CC(A, G_{ij}) = M$ , return ( $Sch$ ) if  $|R_i| > l_i / 2$ ,  
 if  $CC(A, G_{ij}) = (n, r)$ , return ( $Sch$ ) if  $|R_i| \geq (r / n)l_i$ ;  
 go to 1.

In Figure 5, a group  $G$  is composed of three nodes  $G_1, G_2$ , and  $G_3$  where  $G_1 \rightarrow G_3$  and  $G_2 \rightarrow G_3$ . Suppose the atomic commitment is taken for  $G$  in a transactional agent  $A$ ,  $CC(A, G) = A(atomic)$ . Here, the initial set  $I$  is  $\{G_1, G_2\}$ . One of the initial nodes  $G_1$  and  $G_2$  is taken, say  $G_1$ . Then,  $G_2$  is taken. Here,  $G_1$  precedes  $G_2$  ( $G_1 \Rightarrow G_2$ ). Lastly,  $G_3$  is taken.  $G_1 \Rightarrow G_2 \Rightarrow G_3$ .  $G_1$  includes computers  $D_1, D_2$ , and  $D_3$ . Here, suppose  $CC(A, G_1) = AO$ . Suppose  $D_{11} \rightarrow D_{12}$  in  $G_1$ . Here,  $I_1 = \{D_{11}, D_{13}\}$ . Suppose  $D_{11}$  is taken. Since  $D_{11} \rightarrow D_{12}$ ,  $D_{12}$  is next taken. Here,  $D_{11} \Rightarrow D_{12}$ . Since  $CC(A, G_1) = AO$ ,  $D_{13}$  is not taken. Then,  $D_{12}$  and  $D_{13}$  are taken. Next,  $D_2$  in  $G_2$  is taken. Finally, the nodes in  $G_3$  are taken. Suppose that  $CC(A, G_3) = A$ . For example,  $D_{31}$  is first taken because  $D_{31}$  is less loaded than  $D_{32}$ .  $D_{31} \Rightarrow D_{32}$ . Finally, a schedule  $D_{11} \Rightarrow D_{12} \Rightarrow D_2 \Rightarrow D_{31} \Rightarrow D_{32}$  is obtained by **Schedule( $A, G$ )**.

## 4: A FAULT-TOLERANT MODEL

### 4.1: TYPES OF FAULTS

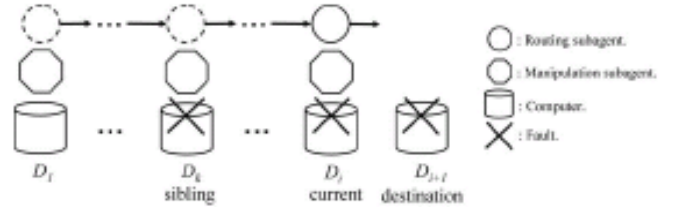
A routing ( $R$ ) subagent  $RA(A)$  of a transactional agent  $A$  is initiated on a base computer  $D_1 (= Base(A))$ . Then,



**Figure 4. Hierarchical navigation map.**

$RA(A)$  moves to  $D_2$  from  $D_1$  and a manipulation ( $M$ ) subagent  $MA(A, D_2)$  locally manipulates objects in  $D_2$ . Thus,  $RA(A)$  visits computers  $D_1, D_2, \dots, D_i$ . The sequence  $D_1, D_2, \dots, D_i$  is the history of  $A$ . Then,  $RA(A)$  moves to  $D_{i+1}$  from  $D_i$ . Here,  $D_{i-1}$  and  $D_{i+1}$  are the direct predecessor and direct successor of  $D_i$ , respectively.  $D_{i-h}$  and  $D_{i+h}$  ( $h > 0$ ) are the predecessor and successor of  $D_i$ , respectively. We assume networks are reliable but a computer may stop by fault. Suppose  $RA(A)$  is on a current computer  $D_i$ . There are the following types of faulty computers in a history  $D_1, \dots, D_i$ :

1. A destination computer  $D_{i+1}$  is faulty.
2. A sibling computer  $D_k$  ( $k < i$ ) which  $RA(A)$  has visited is faulty.
3. A current computer  $D_i$  is faulty.



**Figure 5. Faults of computers.**

### 4.2: FAULT OF DESTINATION COMPUTER TYPES OF FAULT

First, suppose a routing ( $R$ ) subagent  $RA(A)$  finds a destination computer  $D_j$  in  $HMap(A)$  on the current  $D_i$ . Here, suppose the destination  $D_j$  is faulty and  $RA(A)$  first tries to find another operational destination from  $D_i$  as follows:

1.  $RA(A)$  finds a computer  $D_k$  independent of the faulty computer  $D_j$ .
2.  $RA(A)$  finds a replica computer  $D_k$  where the same  $MA(A, D_j)$  can be performed.

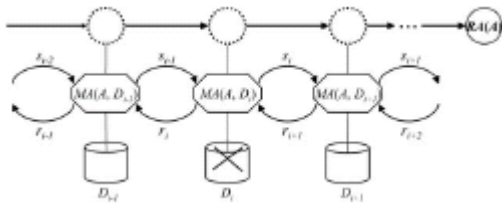
Independent and replica computers of  $D_j$  are candidates. If a candidate  $D_k$  is found,  $RA(A)$  moves to  $D_k$ . If another destination from the current  $D_i$  is not found,  $RA(A)$  backs to the direct predecessor  $D_h$ . Here,  $MA(A, D_i)$  is aborted. On backing to the predecessor  $D_h$ ,  $RA(A)$  brings information on the faulty computer. If

$RA(A)$  on  $D_h$  finds another candidate  $D_k$  ( $k \neq i$ ),  $RA(A)$  moves to  $D_k$ . Otherwise,  $RA(A)$  furthermore backs to the direct predecessor.

**[Faulty destination computer]**

1.  $RA(A)$  takes one of the following actions:
  - a. Finds another candidate computer  $D_k$ .
  - b. Waits on the current computer  $D_i$  until the faulty destination  $D_{i+1}$  is recovered.
2.  $RA(A)$  takes the action a:  
If a candidate  $D_k$  is found,  $RA(A)$  moves to  $D_k$ . If not found, go to 4.
3.  $RA(A)$  takes the action b:  
If the destination  $D_{i+1}$  is recovered,  $RA(A)$  moves to  $D_{i+1}$ . If the timer expires, go to 4.
4. If the current computer  $D_i$  is  $D_1$ ,  $RA(A)$  aborts. Otherwise,  $RA(A)$  backs to the direct predecessor  $D_{i-1}$ . Then,  $RA(A)$  takes the action a.

Each sibling  $M$  subagent  $MA(A, D_i)$  exchanges control messages with the direct predecessor  $MA(A, D_{i-1})$  and the direct successor  $MA(A, D_{i+1})$  as shown in Figure 6. Here, a pair of  $MA(A, D_{i-1})$  and  $MA(A, D_{i+1})$  find  $D_i$  to be faulty by the time-out mechanism.



**Figure 6. Communication among manipulation subagents.**

Suppose an  $R$  subagent  $RA(A)$  moves to a computer  $D_i$  from  $D_{i-1}$ .  $RA(A)$  carries a log information  $Log$  to  $D_i$ , which shows a history of computers  $D_1, \dots, D_{i-1}$  which  $RA(A)$  has so far visited. Hence,  $MA(A, D_i)$  knows what computers are its predecessors  $D_1, \dots, D_{i-1}$ . On time  $RA(A)$  leaves  $D_i$  for  $D_{i+1}$ ,  $RA(A)$  gives information of  $D_{i+1}$  to  $MA(A, D_i)$ . Here, a variable  $Pred_i$  denotes a sequence of the predecessors  $\langle D_1, \dots, D_{i-1} \rangle$  and  $Succi$  shows a sequence of its successors which  $MA(A, D_i)$  knows. Variables  $DSucci$  and  $DPred_i$  show the direct successor and predecessor of  $MA(A, D_i)$ , respectively.  $DSucci = D_{i-1}$  and  $DPred_i = D_{i+1}$ .  $a_1$  and  $a_n$  are top and last elements of a list  $\langle a_1, \dots, a_n \rangle$ . An element  $D_h$  in  $Succi = \langle D_{i+1}, D_{i+2}, \dots, D_h \rangle$  is the last successor of  $D_i$ . Sibling  $M$  subagents  $MA(A, D_1), \dots, MA(A, D_i)$  communicate with each other as follows [Figure 6]:

**[Faulty sibling computer]**

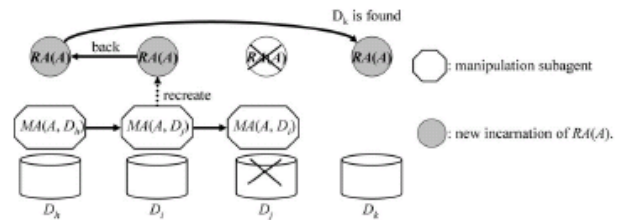
1.  $MA(A, D_i)$  is created on  $D_i$  on arrival of  $RA(A)$  with the log  $Log$  from the direct predecessor  $D_{i-1}$ . Here,  $Pred_i := Log$ ,  $DPred_i := D_{i-1}$ , and  $Succi := DSucci := \varphi$ .  $MA(A, D_i)$  sends a *State* message  $s_j$  to the direct predecessor  $MA(A, D_{i-1})$  where  $s_j.succi = Succi$ .
2. On receipt of a *State*  $s_{i+1}$  from  $MA(A, D_{i+1})$ ,  $MA(A, D_i)$  manipulates a variable  $Succi$  as  $Succi := s_{i+1}.succ + D_{i+1}$  and sends as follows:

- a. a *State* message  $s_i$  to  $MA(A, D_{i-1})$  where  $s_i.succ := Succi$ .
  - b. *State-response* message  $r_i$  to  $MA(A, D_{i+1})$  where  $r_i.pred := Pred_i$ .
3. On receipt of  $r_{i-1}$  from  $D_{i-1}$ ,  $Pred_i := r_{i-1}.pred + D_{i-1}$  in  $MA(A, D_i)$ .  $MA(A, D_i)$  sends *State-response*  $r_i$  to  $MA(A, D_{i+1})$  where  $r_i.pred := Pred_i$ .
  4. When  $RA(A)$  leaves  $D_i$  for the direct successor  $D_{i+1}$ ,  $Log := Log + D_i$  and  $RA(A)$  carries  $Log$ . Here,  $DSucci := D_{i+1}$ .

If  $MA(A, D_i)$  does not receive any message from the direct predecessor  $MA(A, D_{i-1})$  and direct successor  $MA(A, D_{i+1})$  for some time units,  $MA(A, D_i)$  sends *State-response*  $r_i$  and *State*  $s_i$  to  $MA(A, D_{i-1})$  and  $MA(A, D_{i+1})$ , respectively. If  $MA(A, D_i)$  does not receive any message after sending some number of *State-response* and *State* messages,  $MA(A, D_i)$  perceives the neighbors  $MA(A, D_{i-1})$  and  $MA(A, D_{i+1})$  to be faulty, respectively. Thus,  $MA(A, D_i)$  obtains information  $Pred_i$  of the predecessors  $D_1, \dots, D_{i-1}$  from  $RA(A)$ .  $MA(A, D_i)$  obtains configuration on what computers are the successors on receipt of *State-response* from the direct successor  $MA(A, D_{i+1})$ . In addition,  $MA(A, D_i)$  forwards *State* from the direct predecessor to the direct successor.

**4.4: FAULT OF CURRENT COMPUTER**

A routing ( $R$ ) subagent  $RA(A)$  is faulty only if a current computer  $D_i$  is faulty. Suppose that  $RA(A)$  comes from a computer  $D_{i-1}$  to  $D_i$ . Suppose the direct predecessor  $MA(A, D_{i-1})$  detects  $D_i$  to be faulty, i.e.  $RA(A)$  is faulty on  $D_i$ . Here, the direct predecessor  $MA(A, D_{i-1})$  recreates a new incarnation of  $RA(A)$ . The new incarnation tries to take another destination  $D_k$  in  $HMap(A)$ . Here,  $MA(A, D_{i-1})$  sends *State*  $s_{i-1}$  to  $MA(A, D_{i-2})$ , where  $s_{i-1}.succ = \langle D_{i-1} \rangle$ . If another destination  $D_k$  is found,  $RA(A)$  moves to  $D_k$ . If not found,  $RA(A)$  further backs to  $MA(A, D_{i-2})$  and  $MA(A, D_{i-1})$  aborts.



**Figure 7. Reincarnation of routing subagent.**

**[Faulty current computer]**

1. The direct predecessor  $MA(A, D_{i-1})$  detects the current computer  $D_i$  to be faulty by timeout.
2.  $MA(A, D_{i-1})$  recreates a new incarnation  $RA(A)$ .
3.  $RA(A)$  on  $D_{i-1}$  takes the action of the faulty destination.

**4.5: FAULT OF MANIPULATION SUBAGENT**

A sibling  $M$  subagent  $MA(A, D_i)$  which  $RA(A)$  has visited may be faulty. The direct predecessor  $MA(A, D_{i-1})$  and the direct successor  $MA(A, D_{i+1})$  detect  $MA(A, D_i)$  to be faulty by the time-out mechanism as discussed before. If the commitment condition is not an atomic type,  $RA(A)$  can continue the computation even if  $MA(A, D_i)$  is faulty.  $MA(A, D_{i+1})$  knows every predecessor of the faulty  $MA(A, D_i)$ , i.e.  $MA(A, D_1), \dots, MA(A, D_{i-1})$  while  $MA(A, D_{i-1})$  knows that  $MA(A, D_i)$  is its direct successor but may not know every successor  $MA(A, D_h)$  ( $h > i$ ) of  $MA(A, D_i)$ . Hence,  $MA(A, D_{i+1})$  sends *State*  $s_{i+1}$  to  $MA(A, D_{i-1})$ . Here,  $MA(A, D_{i+1})$  and  $MA(A, D_{i-1})$  are now neighbors as shown in Figure 8.

Secondly, the direct predecessor  $MA(A, D_{i-1})$  of the faulty  $MA(A, D_i)$  creates a new incarnation of  $RA(A)$  on  $D_{i-1}$ . The new incarnation finds another operational destination as discussed before. Here,  $MA(A, D_{i-1})$  sends *State*  $s_{i-1}$  where  $s_{i-1}.succ = \varnothing$  to  $MA(A, D_{i-2})$  to inform the fault of  $D_i$ . Thus, every predecessor  $MA(A, D_h)$  ( $h < i-1$ ) of  $MA(A, D_{i-1})$  eventually knows that  $D_i$  is faulty and the successors  $D_{i+1}, D_{i+2}, \dots$  of the faulty  $MA(A, D_i)$  are not sibling ones. The direct successor  $MA(A, D_{i+1})$  also detects  $MA(A, D_i)$  to be faulty. We consider the following three ways to deliver *Abort* to the old incarnation.

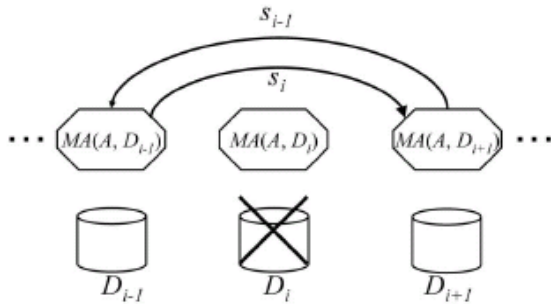


Figure 8. Detection of faulty computer.

#### [Linear chain (LC) way]

1.  $MA(A, D_{i+1})$  sends an *Abort* message to the direct successor  $MA(A, D_{i+1})$  and then aborts.
2. On receipt of *Abort* from  $MA(A, D_{i-1})$ ,  $MA(A, D_i)$  forwards *Abort* to  $MA(A, D_{i+1})$  if  $D_i$  is not current.  $MA(A, D_i)$  forwards *Abort* to  $RA(A)$  if  $D_i$  is current.
3. Then,  $MA(A, D_i)$  aborts.

#### [Modified chain (MC) way]

1. On receipt of *Abort* from the direct successor  $MA(A, D_{i+1})$ ,  $MA(A, D_i)$  finds the last successor  $MA(A, D_h)$  in the log  $Succ_i = \langle D_{i+1}, D_{i+2}, \dots, D_h \rangle$ .
2.  $MA(A, D_i)$  forwards *Abort* to not only the direct successor  $MA(A, D_{i+1})$  but also the last successor  $MA(A, D_h)$ .  $MA(A, D_i)$  aborts.
3. On receipt of *Abort* from a predecessor  $MA(A, D_j)$  ( $j < i - 1$ ),  $MA(A, D_i)$  forwards *Abort* to  $MA(A, D_{i+1})$  and  $MA(A, D_{i-1})$ .  $MA(A, D_i)$  aborts. If  $D_i$  is current, the old incarnation of  $RA(A)$  aborts.

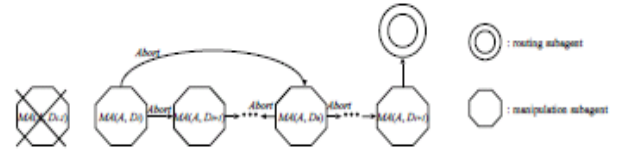


Figure 9. MC way.

#### [Broadcast (BC) way]

1.  $MA(A, D_i)$  detects the direct predecessor  $MA(A, D_{i-1})$  to be faulty.
2.  $MA(A, D_i)$  sends *Abort* with the successors list  $succ_i$  to every successor  $MA(A, D_h)$  ( $h > i$ ) in the log  $succ_i$ . Then,  $MA(A, D_i)$  aborts.
3. On receipt of an *Abort* message from a predecessor  $MA(A, D_h)$ ,  $MA(A, D_i)$  forwards *Abort* to  $RA(A)$  if  $D_i$  is current. Otherwise  $MA(A, D_i)$  forwards *Abort* to every successor  $MA(A, D_h)$  ( $h > i$ ) in the log  $succ_i - succ_h$ . Then  $MA(A, D_i)$  aborts.



Figure 10. BC way.

## 5: Evaluation

In the transactional agent (TA) model, a routing ( $R$ ) subagent is moving in a network even after some sibling manipulation ( $M$ ) subagent is faulty. An *Abort* message has to be delivered to the old incarnation of the  $R$  subagent, which is still moving. We evaluate the TA model in terms of how long it takes to deliver an *Abort* message to the old incarnation if some sibling computer is faulty. We assume that it takes 16 [msec] to perform a transactional agent on each computer, i.e. an  $R$  subagent moves to the current computer from another computer, classes of an  $M$  subagent are loaded to the current computer from the base computer, and the  $M$  subagent is performed by manipulating objects in the current computer. We assume it takes 3 [msec] to deliver a message from a computer to another. In this evaluation, each subagent is realized as thread. The movement of an  $R$  subagent is simulated as creating a thread.

Initially, there are a sequence of 100 nodes  $M_0, \dots, M_{99}$  where each  $M_i$  shows  $MA(A, D_i)$ .  $M_{99}$  is current and  $M_0$  is a base computer. Every 16 [msec], one  $M$  subagent  $M_i$  is created ( $i = 100, 101, \dots$ ). We assume one node  $M_i$  out of 100 nodes  $M_0, \dots, M_{99}$  is faulty. In the LC way, *Abort* is sent from a node to only neighboring nodes. *Abort* is forwarded to the old incarnation. In the MC way, *Abort* is sent to not only neighboring nodes but also the last node  $M_h$ . Then, the node  $M_h$  forwards *Abort* to its neighboring node and last node if the node is not current. In the BC way, *Abort* is sent to every successor which  $M_i$  knows. The last node is sent to every successor.

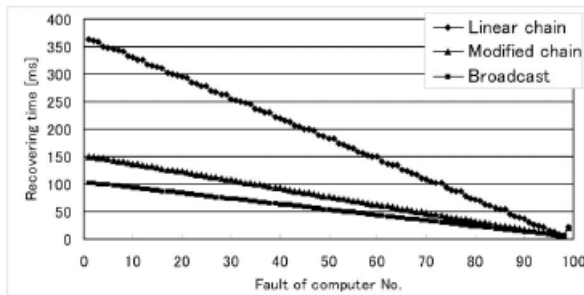


Figure 11. Delivery time of Abort message.

Figure 11 shows the delivering time of the *Abort* message if  $M_i$  is faulty ( $i = 0, \dots, 99$ ). For example, if  $M_{10}$  is faulty, it takes 330 [msec], 138 [msec], and 94 [msec] to deliver *Abort* in the LC, MC, and BC ways, respectively. Figure 12 shows how many computers the old incarnation of the *R* subagent visits after some sibling computer is faulty until *Abort* is delivered to the old incarnation. In the LC and BC ways, only one node is created until the old incarnation receives *Abort*.

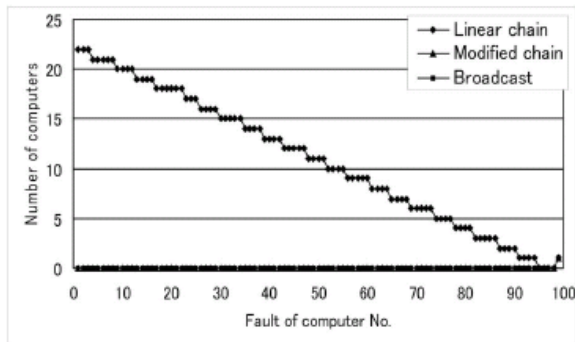


Figure 12. Number of computers.

In the LC way, the old incarnation of the *R* subagent visits 13 computers after  $M_{40}$  is detected to be faulty. Figure 13 shows how many *Abort* messages are transmitted among nodes until the old incarnation is aborted. For example, 20 messages are transmitted in the BC way, 22 in the MC way, and 28 in the LC way if the 80th node  $M_{80}$  is faulty. Following the figures, the BC way implies the best performance.

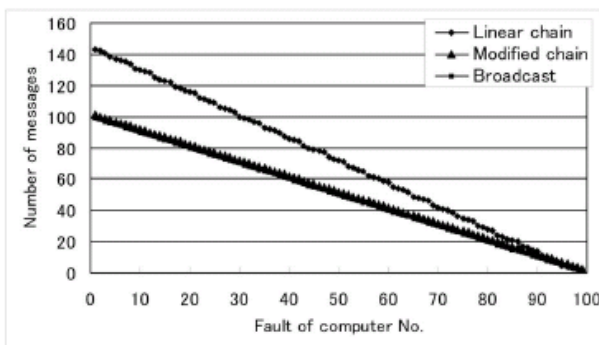


Figure 13. Number of messages.

## 6: Concluding Remarks

We discussed how to realize a fault-tolerant application to manipulate distributed objects with a mobile agent in presence of computer faults. A transactional agent (TA) is a mobile agent which manipulates objects with some commitment condition. There are types of computer faults, *home*, *destination*, *sibling*, and *current* computers. We discussed how to make the transactional agent tolerant of the types of computer faults through the cooperation of the sibling manipulation subagents. In the traditional client-server model, applications cannot be performed if the clients are faulty. In the TA model, a transactional agent autonomously finds another destination computer even if computers are faulty. Thus, application programs can be reliably realized in the TA model. We evaluated how long it takes to recover from faulty computers.

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